400 Garden City Plaza Garden City, New York 11530 (516) 742-4343 - Telephone (516) 742-4366 - Facsimile e:mail: intprop@ssmp.com

SCULLY, SCOTT, MURPHY & PRESSER



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JUN 2 2004

OFFICIAL

To:	Examiner Gupta	From:	Mark Cohen	OFFIC
	Group Art Unit: 1654	· · · · · · · · · · · · · · · · · · ·		
Fax:	571-273-0965		39 (including cove	r sheet)
Phone	93	Date:	6/22/2004	
Re:	Serial No. 09/246,307	CC:		
	Our Docket: 15004Z	, , , , , , , , , , , , , , , , , , , 		
□ Urg	ent	☐ Please Comment	☐ Please Reply	☐ Please Recycle
• Con	nments:	!		-
Attach	ed hereto:			

- 1. Certificate of Facsimile Transmittal, in duplicate
- 2. Letter to Examiner Gupta
- Copies of responses and prosecution submitted to the USPTO from June 2003to April 2004, including Request of Revival of Application Pursuant to 37 C.F.R. §1.8 with Exhibits A & B

CONFIDENTIALITY: The documents accompanying this facsimile transmission may contain information which is either confidential or legally privileged and is intended only for the authorized use of the individual or entity named above without right or publication or republication, dissemination or disclosure except as expressly set forth or established by course of dealing. All rights are reserved. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or use of the contents of this facsimile is prohibited. If you received this transmission in error, please notify us immediately by telephone to arrange for return of the documents.

June 22, 2004

If you have any problems concerning this facsimile, please call (516) 742-4343 and ask for Norma I. Sanchez.

1	CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8) Applicant(s): Alan P. Kosilowski, et al. Docket No. 15004Z								
Serial No. 09/246,307	l " ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' '								
	Invention: CYCLIC DIPEPTIDES AND AZETIDINONE COMPOUNDS AND THEIR USE IN TREATING CNS INJURY AND NEURODEGENERATIVE DISORDERS								
I hereby certify that this		Letter (Identify type of correspondence)							
on June 22,		and Trademark Οπισε (Fax. ινα). <u>517-273-0965</u>						
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		(Typed or Printed Name of Person Mark (Signature)	n Signing Certificate)						
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JUN 2 3 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Alan P. Kozikowski, et al.

Examiner: A. Gupta

Serial No.: 09/246,307

Art Unit: 1653

Filed:

February 9, 1999

Docket:

15004Z

For: CYCLIC DIPEPTIDES AND

AZETIDINONE COMPOUNDS AND THEIR USE IN TREATING CNS INJURY

THEIR USE IN TREATING CNS INJURY
AND NEURODEGENERATIVE DISORDERS

Dated:

June 22, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

LETTER

Sir:

In a telephone conference with Examiner Gupta, applicants' attorney was advised that the United States Patent and Trademark Office had no record of the <u>SUBMISSION</u>

FOR REQUEST OF REVIVAL OF APPLICATION PURSUANT TO C.F.R. 37 §1.8 filed approximately a year ago and the subsequent status inquiry letters filed by applicants.

Pursuant to Examiner Gupta's suggestion, applicants are faxing another copy of the aforementioned documents together with the documentation evidencing the receipt thereof by the U.S. Patent and Trademark Office.

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Dated: June 22, 2004

Mark Cohen

Applicants respectfully request that the United States Patent and Trademark Office withdraw the Notice of Abandonment and provide an action on the merits as quickly as possible so as to expedite prosecution of this application that has been languishing in the United States Patent and Trademark Office without examination through no fault of applicants.

Applicants wish to thank Examiner Gupta in advance for his assistance in this matter.

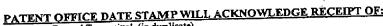
Respectfully submitted,

Mark Cohen

Registration No. 32,211

SCULLY, SCOTT, MURPHY & PRESSER 400 Garden City Plaza Garden City, NY 11530 (516)742-4343

MC:nis



- General Transmittal (in duplicate)
- Status Inquiry
- Certificate of Mailing

Applicant: Alan P. Kozikowski, et al.

Serial No.: 09/246,307

Senal No.: 09/240,507
Filed: February 8, 1999
For: CYCLIC DIDPEPTIDES AND AZETIDINONE COMPOUNDS AND THEIR
USE IN TREATING CNS INJURY AND NEURODEGENERATIVE DISORDERS

Docket: 15004Z

Dated: April 28, 2004





	TRANSMITTAL LETTER (General - Patent Pending)			Docket No. 15004Z
in Re Application Of: A	Alan P. Kozikowski, et al.			
Serial No.	Filing Date	E	ixaminer	Group Art Unit
09/246,307	February 8, 1999	4	Gupta	1654
Title: CYCLIC DIPEP	TIDES AND AZETIDINONE CO	<u></u>	ND THEIR USE IN T	REATING CNS INJURY
AND NEURODEGENER			•	
	TO THE COMMISS	IONER FOR F	PATENTS:	
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in the above identified a	nalication			
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	ny overpayment. any additional fee required.			
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Mark J C	Signature	Dated: A	pril 28, 2004	·
Mark J. Cohen			·	<u></u>
Registration No. 32,211 Scully, Scott, Murphy &	Presser		I certify that this docur	ment and fee is being deposited with the U.S. Postal Service as
400 Garden City Plaza Garden City, NY 11530			first class mail under 37 (C.F.R. 1.8 and is addressed to the
(516) 742-4343			22313-1450.	s, P.O. Box 1450, Alexandria, VA
	•		Signature of Perso	on Mailing Correspondence
		!	Ma	rk J. Cohen
cc:				of Person Mailing Correspondence

Q.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alan P. Kozikowski, et al.

Examiner: Gupta

Serial No.: 09/246,307

Art Unit: 1654

Filed: February 8, 1999

Docket: 15004Z

For: CYCLIC DIPEPTIDES AND

AZETIDINONE COMPOUNDS AND

THEIR USE IN TREATING CNS
INJURY AND NEURODEGENERATIVE

DISORDERS

Dated: April 28, 2004

Confirmation No.: 6016

Commissioner for Patents Alexandria, VA 22313-1450

STATUS INQUIRY

Sir:

Applicants again inquire into the status of the above-identified case.

Applicants have filed a Status Letter dated January 16, 2004 relative to the above-identified case, and as of this date, have not received a Response thereto.

In the last communication received from the United States Patent and Trademark Office in this application, the United States Patent and Trademark Office had improperly abandoned the present application, alleging that applicants had not responded to the Office Action dated January 17, 2001. As explained in the Petition for Revival, applicants had

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Alexandria, VA 22313-1450 on April 28, 2004.

Dated: April 28, 2004

Mark J. Cohen

Const.

responded to the Official Action and provided evidence supporting the fact that they responded to the Official Action. As explained in the Petition to Revive, the United States Patent and Trademark Office had mischaracterized the last Official Action as a final rejection, when in reality it was not a Final rejection. Consequently, applicants did not file a Notice of Appeal nor was one required. Thus, the abandonment by the United States Patent and Trademark Office was in error.

Applicants referred to the Petition to Revive the application in the previous status letter filed on January 16, 2004. Nevertheless, it is about three months since the filing thereof and applicants still have not received any communication in relation thereto. Moreover, it is almost a year since the submission of the Petition to Revive, and as of this date, applicants have not received any decision on the Petition.

This application was filed on February 8, 1999, and during the five years this application has been in prosecution, applicants have only received two Official Actions examining the claims on the merits. The delay in the examination of the above-identified application is not the fault of the applicants.

Applicants again inquire into the status of the above-identified case.

Respectfully submitted,

Mark J. Coh

Registration No. 32 211

SCULLY, SCOTT, MURPHY & PRESSER 400 Garden City Plaza Garden City, NY 11530 (516) 742-4343

MJC:lf

PATENT OFFICE DATE STAMP WILL ACKNOWLEDGE RECEIPT OF:

General Transmittal (in duplicate)

Status Inquiry

Certificate of Mailing

Applicant: Alan P. Kozikowski, et al.

Applicant: Alan P. Aczundwski, et al.
Serial No.: 09/246,307
Filed: February 8, 1999
For: CYCLIC DIDPEPTIDES AND AZETIDINONE COMPOUNDS AND THEIR
USE IN TREATING CNS INJURY AND NEUROPEENERATIVE DISORDE ENERATIVE DISORDERS

Dated: January 16, 2004



	TRANSMITTAL LETTER (General - Patent Pending)		Docket No. 15004Z
In Re Application Of: Al	an P. Kozikowski, et al.		
Serial No. 09/246,307	Filing Date February 8, 1999	Examiner Gupta	Group Art Unit 1654
Title: CYCLIC DIPEPT	DES AND AZETIDINONE COI TIVE DISORDERS	MPOUNDS AND THEIR USE I	IN TREATING CNS INJURY
TO THE D	RECTOR OF THE UNITED ST	ATES PATENT AND TRADEM	IARK OFFICE:
ransmitted herewith is: Status Inquiry			
as described below Charge the	required. unt of is attace by authorized to charge and cre		19-1013/SSMP
Mal J. Cohen Sign Sign Sign Sign Sign Sign Sign Sig	nature	Dated: January 16, 2004	-
cully, Scott, Murphy & Pr 00 Garden City Plaza Garden City, NY 11530 516) 742-4343	esser	on 1/16/04 first class mail under Director of the United P.O. 50x11450, Alexa	with the U.S. Postal Service as 37 C.F.R. 1.8 and Is addressed to the I States Patent and Trademark Office, orderia, VA 22013-1450. Person Mailing Correspondence
: :		<i>U</i>	Mark J. Cohen me of Person Mailing Correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alan P. Kozikowski, et al.

Examiner: Gupta

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Serial No.: 09/246,307

Art Unit: 1654

Filed: February 8, 1999

Docket: 15004Z

Dated: January 16, 2004

JUN 2 2 2004

For: CYCLIC DIPEPTIDES AND AZETIDINONE COMPOUNDS AND THEIR USE IN TREATING CNS

INJURY AND NEURODEGENERATIVE

DISORDERS

DFFICIAL

Commissioner for Patents Washington, DC 20231

STATUS INOUIRY

Sir:

Applicants are inquiring into the status of the above-identified case.

Applicants received a Notice of Abandonment improperly issued dated June 18, 2003, alleging that they did not file a proper reply to an Office Action dated January 17, 2001. In a Submission for Request of Revival of Application Pursuant to 37 C.F.R. §1.8, dated June 30, 2003, applicants showed that the Notice of Abandonment was improperly issued and that a timely reply to said Official Action was filed. Moreover, applicants indicated that the United States Patent and Trademark Office mischaracterized the Official Action dated January 17, 2001 as a final rejection, when in reality it was not.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Alexandria, VA 22313-1450 on January 16, 2004,

Dated: January 16, 2004

lark J. Cohen

It is almost six months since applicants filed its submission for Request of of Application Pursuant to 37 C.F.R. §1.8. Applicants have not received any lication from the United States Patent and Trademark Office since its submission in thereto.

Applicants are now inquiring into the status of its Request.

Respectfully submitted,

Mark J. Ohen

Registration No. 32,21

Y, SCOTT, MURPHY & PRESSER len City Plaza City, NY 11530 2-4343

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Date/Time Local ID Local Name Company Logo

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	Group Art Unit: 1653 (703)745-4686 Serial No. 08/245,307 Our Docket: 15004Z	Group Art Unit: 1653 (703)748-4636 Pagees		

- 1. General Transmittal Letter (in dupticate) -
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MP: Multi-Poli

RM: Receive to Memory

HP: Host Print HR: Host Receive PD: Polled by Remote

PG: Polling a Remote

DR: Document Removed

FO: Forced Output

FM: Forward Malibox Doc. WS: Walting Send

TM: Terminated by user WT: Waiting Transfer

MB: Receive to Mailbox

PI: Power Interruption

400 Garden City Plaza Garden City, New York 11530 (516) 742-4343 - Telephone (516) 742-4366 - Facsimile e:mail: intrprop@ssmp.com

Exhibit A and B

SCULLY, SCOTT, MURPHY & PRESSER



To:	EXA	EXAMINER GUPTA		Mark J. Cohen	Mark J. Cohen	
	Grou	up Art Unit: 1653			**************************************	
Fax	: (703)746-4986	Page	s: 24 (including cove	er sheet)	
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1.	General	Transmittal Letter (i	n duplicate) ·			
2.	Certifica	ite of Facsimile (in di	uplicate)			

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3. Submission for Request of Revival of Application Pursuant to 37 C.F.R. §1.8 (in duplicate) with

If you have any problems concerning this facsimile, please call (516) 742-4343 and ask for Lisa Fiumara.

·	TRANSMITTAL LETTER		Docket No.
	(General - Patent Pending)		15004Z
In Re Application Of: A	an P. Kozikowski, et al.		
Serial No. 09/246,307	Filing Date February 8, 1999	Examiner Anish Gupta	Group Art Unit
Title: CYCLIC DIPEPT	IDES AND AZETIDINONE CO	MPOUNDS AND THEIR USE IN	1654 TREATING CNS INJURY
AND NEURODEGENERA	AIVE DISORDERS		
	TO THE COMMISSION	ONER FOR PATENTS:	
Transmitted herewith is:			
Submission for Request o	of Revival of Application Pursuan	nt to 37 C.F.R. 1.8	
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Mark J. Cohen Registration No. 32,211 cully, Scott, Murphy & Pres O Garden City Plaza Farden City, NY 11530 616) 742-4343		first class hail under 37 C. Commissioner los Patents,	ent and fee is being deposited with the U.S. Postal Service as F.R. 1.8 and is addressed to the P.O. Box 1459, Alexandria, VA
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CERTIFICATE OF T. pplicant(s): Alan P. Kozi	RANSMISSION BY FAC	SIMILE (37 CFR 1.8)	Docket No. 15004Z
Serial No. 09/246,307	Filing Date Febraury 8, 1999	Examiner Anish Gupta	Group Art Unit 1654
ovention: YCLIC DIPEPTIDES ANI EURODEGENERATIVE 1		IDS AND THEIR USE IN TREA	ATING CNS INJURY AND
hereby certify that this	SUBMISSION FOR REQUES	T OF REVIVAL OF APPLICAT	CION UNDER 37 C.F.R. 1,8
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on June 30, 20	-	and recommendation (and the	0. 703 740 4780
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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Alan P. Kozikowski, et al.

Examiner: Anish Gupta

Serial No.: 09/246,307

Art Unit: 1654

Filed: February 8, 1999

Docket: 15004Z

Dated: June 30, 2003

OFFICIAL

For: CYCLIC DIPEPTIDES AND AZETIDINONE

COMPOUNDS AND THEIR USE IN TREATING CNS INJURY AND NEURODEGENERATIVE

DISORDERS

Commissioner for Patents Alexandria, VA 22313-1450

SUBMISSION FOR REQUEST OF REVIVAL OF APPLICATION PURSUANT TO 37 C.F.R. §1.8

Sir:

Pursuant to 37 C.F.R. §1.8, applicants respectfully request that the United States

Patent and Trademark Office withdraw its Notice of Abandonment improperly issued and revive
the above-identified application.

The United States Patent and Trademark Office improperly issued a Notice of Abandonment dated June 18, 2003, alleging that the applicants did not file a proper reply to the Office Action dated January 17, 2001. Applicants respectfully submit that they did timely file a proper reply to the Official Action.

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Dated: June 30, 2003

Will Sumary

It appears that the confusion on this issue is caused by the mistake of the United States Patent and Trademark Office in characterizing the Official Action of January 17, 2001, as a Final Rejection. However, the Official Action dated January 17, 2001 was not a Final Rejection. As evidence thereof attached hereto is a copy of the Office Action dated January 17, 2001 as Exhibit A. A review of the Office Action clearly reveals that it was a non-final Office Action. Consequently, since it was a non-final Office Action, no Notice of Appeal is required to be filed. A complete response thereto just requires a written Response to the issues raised in the Office Action.

In fact, a complete Response thereto accompanied by a Declaration under 37 C.F.R. §1.132 and a petition for a three-month extension of term and the appropriate Official fees were filed on July 11, 2001. No other documents were filed or needed to be filed in response to the Official Action.

In addition, a Supplemental Information Disclosure Statement was filed on Jul. 11, 2001.

As evidence that the United States Patent and Trademark Office received the documents, attached hereto as Exhibit B is a copy of the Advisory Action dated April 4, 2002 improperly issued. A review of the third page of the Advisory Action indicates receipt of the Official Response, Declaration and Supplemental Information Disclosure Statement by the United States Patent and Trademark Office.

However, it appears that the United States Patent and Trademark Office mistakenly believes that the Official Action of January 17, 2001, was a Final Rejection, which it clearly was not. As a consequence of its belief, it improperly issued an Advisory Action, which

was dated April 4, 2002, a copy of which is attached hereto as Exhibit B. But, since the Official Action was non-final, no Advisory Action should have been issued in the first instance.

Shortly after receipt of the Notice of Abandonment, applicants' attorney contacted Examiner Gupta, on June 30, 2003, who advised him that the records in the United States Patent and Trademark Office incorrectly indicate that the Office Action of January 17, 2001 was a Final Rejection. Examiner Gupta advised that applicants should respond to the Notice of Abandonment and advise therein of the mistake in the characterization of the Official Action of January 17, 2001 as a Final Rejection.

Applicants' attorney wishes to thank Examiner Gupta for his helpful suggestions.

Applicants' attorney have followed Examiner Gupta's advice and reiterate that the Official Action of January 17, 2001 was non-final, as clearly shown by Exhibit A. A review thereof clearly shows that there is no indication anywhere on the Official Action that it was a Final Official Action. Thus, the Response dated July 11, 2001, accompanied by the Declaration under 37 C.F.R. §1.132, which was timely filed, addressed the issues raised in the Official Action and was a complete Response thereto. Consequently, the United States Patent and Trademark Office have should not have abandoned the above-identified application.

Applicants respectfully request that the records of the United States Patent and Trademark office be corrected to reflect that the Official Action of January 17, 2001 was non-final. Moreover, applicants respectfully request that the application be revived for examination. In addition, applicants respectfully request that the Response duly filed on July 11, 2001 be reviewed and considered on the merits. Finally, applicants respectfully request that the

Applicants' attorney contacted Examiner Gupta shortly after the issuance thereof as well as in September and December 2002 and February 2003 to advise him of the error.

Supplemental Information Disclosure filed on July 11, 2001 be made of record in the above-identified application.

A Petition Fee is not expressly required under the controlling provisions of 37 C.F.R. §1.8(b). Authorization is given, however, to charge payment of any necessary fees associated with the Communication to Deposit Account No. 19-1013/SSMP. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Mark J. Cohen

Registration No. 32,211

Scully, Scott, Murphy & Presser 400 Garden City Plaza Garden City, NY 11530 (516) 742-4343 MJC:lf

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JUN 2 2 2004

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Alan P. Kozikowski, et al.

Examiner: Anish Gupta

Serial No.: 09/246,307

Art Unit: 1654

Filed: February 8, 1999

Docket: 15004Z

Dated: June 30, 2003

OFFICIAL

For: CYCLIC DIPEPTIDES AND AZETIDINONE

COMPOUNDS AND THEIR USE IN TREATING CNS INJURY AND NEURODEGENERATIVE

DISORDERS

Commissioner for Patents Alexandria, VA 22313-1450

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Respectfully submitted,

Mark J. Cohen

Registration No. 32,211

Scully, Scott, Murphy & Presser 400 Garden City Plaza Garden City, NY 11530 (516) 742-4343 MJC:lf